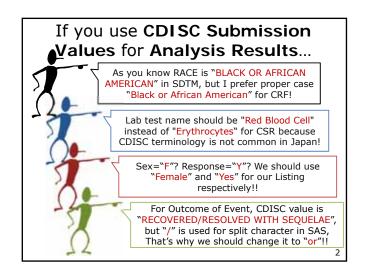


Topic 4 - You want to use CDISC Submission Values in SDTM for Analysis Results?

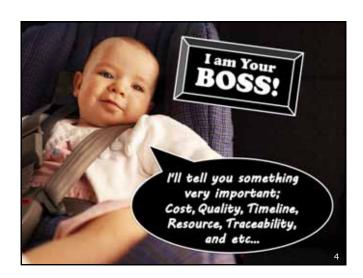
CJUG SDTM LISaS Team 8th February 2012



Many Queries and Requests!!



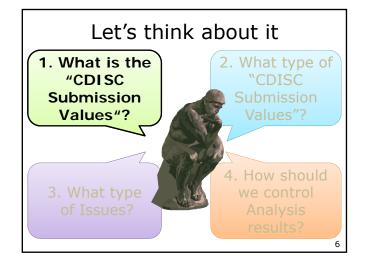
- From Stakeholders
 - -Data Managers
 - -Stats
 - Programmers
 - Clinicians or Clinical Study Leaders
 - -Medical Writers
 - -CRAs



Help me!

- Do we need...
 - ✓ A lot of data-handling for ADaM creation?
 - ✓ Additional format catalogs?
 - Training for learning unfamiliar terms?
 - Being careful about upper-case and lowercase?





Study Data Tabulation Model (SDTM)

- SDTM v1.2 Quote;
 - A standard structure for study data tabulations that are to be submitted as part of a product application to a regulatory authority such as the United States Food and Drug Administration (FDA).
- The focus of SDTM is Not on;
 - Data monitoring/cleaning and coding
 - Analysis result

CDISC Submission Values in SDTM

• Primary users are "Medical Reviewers" in **FDA** Machine-

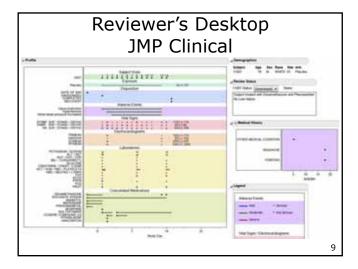
- Not Statisticians

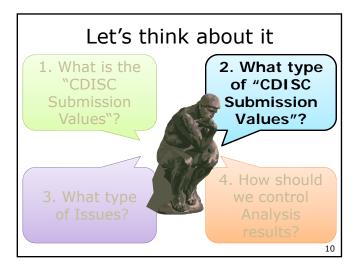
friendly Specific "<u>Tools</u>" are used °^c

- Empirica Study(WebSDM), JReview, JMP Clinical,

- Formats in outputs depend on the tools, e.g., "F" may be displayed on the inside of the graph to reduce the space, and "Female" in the legend.

RACE	SEX	AEOUT	QSSTRESC
BLACK OR A AMERICAN	AFRICAN F	RECOVERED/RESOL WITH SEQUELAE	VED Y





SDTM IG v3.1.2 Quote;

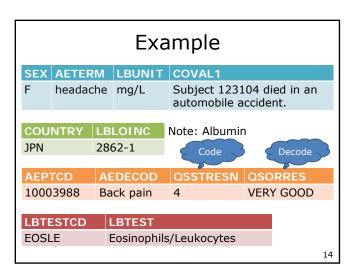
- 4.1.2.1 VARIABLE-NAMING CONVENTIONS
 - "Variables with names ending in "CD" are "short" versions of associated variables that do not include the "CD" suffix (e.g., --TESTCD is the short version of --TEST)."
- 4.1.3.4 USE OF CONTROLLED TERMINOLOGY AND ARBITRARY NUMBER CODES
 - "Controlled terminology or decoded text should be used instead of arbitrary number codes in order to reduce ambiguity for submission reviewers. For example, for concomitant medications, the verbatim term and/or dictionary term should be presented, rather than numeric codes. Separate code values may be submitted as Supplemental Qualifiers and may be necessary in analysis datasets.

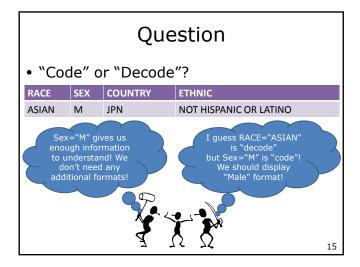
What dose "code/decode" mean?

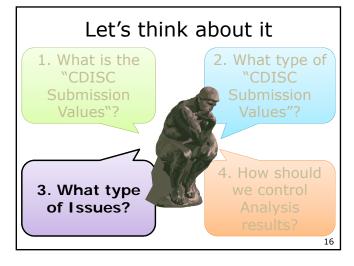
Longman Dictionary of Contemporary English

- > "code"
- A set of instructions that tell a computer what to do, e.g., source code
- A set of numbers, letters, or symbols that shows what something is or gives information about it, e.g., zip code
- <u>"decode"</u>
- If a computer decodes data, it receives it and changes it into a form that can be used by the computer or understood by a person [≠ encode]
- To discover the meaning of a message written in a code (=a set of secret signs or letters) [= decipher] #decipher=to change a message written in a code into ordinary language so that you can read it)

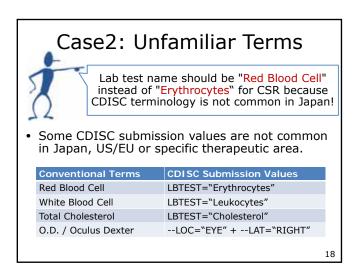
Type of Submission Values No.1 Value only(or Result) Example: SEX, AETERM, LBUNIT, Comments No.2 Code only Example: LBLOINC, COUNTRY No.3 Code and Decode Example: AEPTCD/AEDECOD Special Case: QSSTRESN/QSORRES (--STRESN=Code, --ORRES=Decode) No.4 Short Name and Verbatim Name Example: LBTESTCD/LBTEST Note: "SEX" is not categorized as "Short Name" because of no "Verbatim Name" in SDTM. 13











Case3: Abbreviations



Sex="F"? Response="Y"? We should use "Female" and "Yes" for our Listing respectively!!

- Some CDISC submission values are populated for abbreviated (short) word.
 - It can be reduce the file size and output space.
 - Sex="F" / Response="Y" gives us enough information to understand.
- Our colleagues (various stakeholders) request us to change it into "human-readable" format.
 - Sex="Female" / Response="Yes"

19

Case4: Specific Characters



For Outcome of Event, CDISC value is "RECOVERED/RESOLVED WITH SEQUELAE", but "/" is used for split character in SAS, That's why we should change it to "or"!!

- Some submission values include the characters.
 - hyphen, slash, comma, parenthesis and quotation
- For technical or special purpose, sometimes these characters are used;

- Concatenate by "-"

- Split by "/"

- Export CSV

Subject AE Term Head ache

Serious/ Action Taken/ Outcome of Event

Pes/
DOSE REDUCED/
NOT RECOVERED/NOT RESOLVED

Out of the Scope

• Difference between "CDISC Submission Values" and definitions in your company

CDISC SDTM Controlled Terminology, 2012-08-03 C66727 - NCOMPLT - Completion/Reason for Non-Completion C66727 - NCOMPLT - Completis Codelist extensible: Yes



Customer Satisfaction Survey

- · Questions;
 - What do you think about using these CDSIC Controlled Terminology for Analysis results?
- · Interview;
 - Stats & Programmers

Subject Id Treatment Sex Race Verbatim term

- Clinicians or Clinical Study Leaders
- Medical Writers
- Native English Speaker or Not \{



Preferred term Start date

Example: Summary of Demography

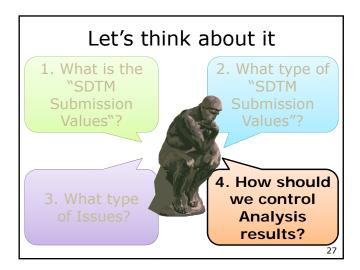
		Placebo (N=30)	Treatment A (N=30)
Sex	F	30 (100%)	30 (100%)
	M	0 (0%)	0 (0%)
Race	AMERICAN INDIAN OR ALASKA NATIVE ASIAN BLACK OR AFRICAN AMERICAN NATIVE HAWAIIAN OR OTHER PACIFIC ISLANDER WHITE	0 (0%) 15 (50%) 0 (0%) 0 (0%) 15 (50%)	0 (0%) 15 (50%) 0 (0%) 0 (0%) 15 (50%)
Ethnicity	HISPANIC OR LATINO	30 (100%)	30 (100%)
	NOT HISPANIC OR LATINO	0 (0%)	0 (0%)
Country	CHN	7 (23%)	7 (23%)
	JPN	7 (23%)	7 (23%)
	SGP	8 (27%)	8 (27%)
	USA	8 (27%)	8 (27%)
Pregnant?	Y	3 (10%)	6 (20%)
	N	27 (90%)	24 (80% <mark>)</mark> 3

Example: Listing of Adverse Events

Jubjec	c ra ircatiin	CITE DEX	Nacc	verbaciiii teriii	TTCTCT	ica term	otart date
123-01	l-01 Placebo	М	WHITE	Eczema (both arm)	Eczem	na	2012-01-13
123-01	l-02 10mg	F		acute myocardial infarction	Acute infarct		2012-11-23
123-98	3-92 50mg	М		POUNDING HEADACHE	Heada	iche	2012-02-19
Serious	s Severity	Action T	aken	Outcome		Location	Laterality
N	MILD	DOSE R	EDUCED	RECOVERED/RESO	DLVED	ARM	BILATERAL
Y	SEVERE	DRUG WITHDE	RAWN	FATAL		HEART, LEF VENTRICLE	
N	MODERATE	DRUG INTERR	UPTED	RECOVERED/RESO WITH SEQUELAE	DLVED	HEAD	
							24

Example: Summary of Laboratory Results Treatment A (N=30) Visit 1 Erythrocyte (10^4/mm^3) 30 30 440.6 425.3 SD 62.1 66.3 Max. 460 445 Eosinophils/Leukocytes (%) n Mean 30 30 SD 1.72 1.63 0.2 Min. 0.1 Max. 7.1 6.8 Cholesterol (mg/dL) 29 30 Mean 218.0 200.4 SD 45.5 51.2 Min. 124 120





4. How should we control Analysis results? 4-1: Back ground 4-2: Description in ADaM vs. SDTM 4-3: Consideration about Format catalogue 4-4: Type of Issues — Capitalization Rule

Abbreviations

Unfamiliar Terms

Who is your customer?

Internal or External use
Short-term or Long-term benefit
Direct or Indirect support

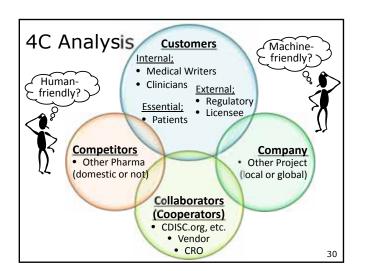
Patients

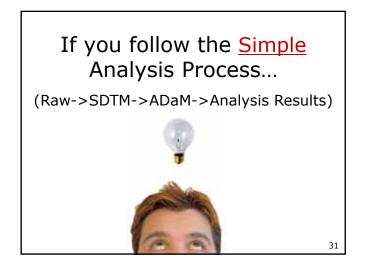
PhDA

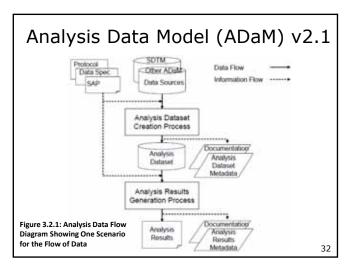
Clinicians

Stats

Licensee
29







4. How should we control Analysis results?

4-1: Back ground

4-2: Description in ADaM vs. SDTM

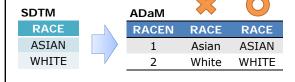
4-3: Consideration about Format catalogue

4-4: Type of Issues

- Capitalization Rule
- Abbreviations
- Unfamiliar Terms

SDTM vs. ADaM Traceability

- ADaM IG v1.0 Quote;
 - Any ADaM variable whose name is the same as an SDTM variable must be a copy of the SDTM variable, and its label, meaning, and values must not be modified. ADaM adheres to a principle of harmonization known as "same name, same meaning, same values".



33

ADaM for Adverse Event Analysis v1.0 Quote;

Variable Name	Variable Label	Туре	Code List/ Controlled Terms	CDISC Notes
AESER	Serious Event	Char	(NY)	AE.AESER
AESEV	Severity/Intensity	Char	(AESEV)	AE.AESEV
AESEVN	Severity/Intensity (N)	Num	1, 2, 3	Code AE.AESEV to numeric Low intensit should correspond to low value
ASEV	Analysis Severity/Intensi ty	Char	*	Apply imputation rules for missing severity of adverse events as specified in the SAP or metadata. May change case of text, such as from all uppercase in AESEV to mixed case in ASEV.
ASEVN	Analysis Severity/Intensity (N)	Num	1, 2, 3	Code ASEV to numeric Low intensity should correspond to low value

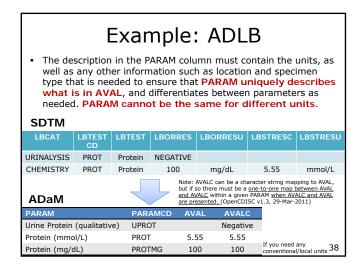
Example: ADAE

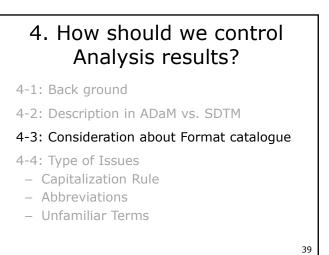
- · ASEV, ASEVN;
 - Apply imputation rules for missing severity of adverse events as specified in the SAP or metadata. May change case of text, such as from all uppercase in AESEV to mixed case in ASEV.

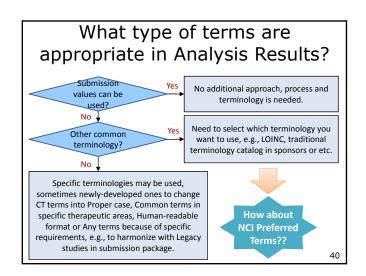
SDTM	ADaM			
AESEV	AESEV	AESEVN	ASEV	ASEVN
MILD	MILD	1	Mild	1
MODERATE	MODERATE	2	Moderate	2
SEVERE	SEVERE	3	Severe	3
<u>(null)</u>	(null)	<u>(null)</u>	Not Applicable	99
				36

6

ADaM Implementation Guide v1.0 Quote; Table 3.2.4.1Analysis Parameter Variables for BDS Datasets Variable Variable Туре CDISC Notes Name Label The description of the analysis parameter. Examples include: "Supine Systolic Blood Pressure (mm Hg)", "Log10 (Weight (kg))", "Time to First Hypertension Event (Days)", "Estimated Tumor Growth Rate", etc. PARAM should be sufficient to describe unambiguously the contents of AVAL and/or AVALC. PARAM must include test, units (if appropriate) PARAM specimen type, location, position, and any other applicable qualifying information needed, any Parameter additional information such as transformation function, and indeed any text that is needed. PARAM may be longer than 40 characters in length. PARAM is often directly usable in Clinical Study Report displays. Note that in the ADaM IG, "parameter" is a synonym of "analysis parameter







Using NCI Preferred Terms

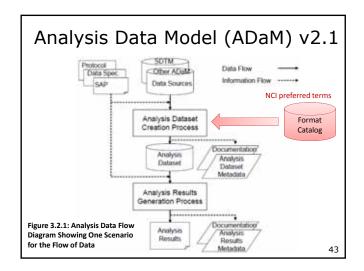
- The "NCI Preferred Term" instead of CDISC Submission Values in Analysis results would be preferred as Internal Standards;
 - Because of "Data exchange" and "Transparency"
 - For some sponsors "Death" may be preferable instead of "Death Related to Adverse Event"

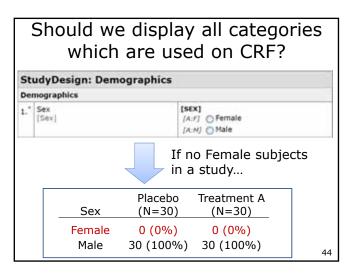
Codelist Name	CDISC Submission Value	NCI Preferred Term	
Outcome of Event	FATAL	Death Related to Adverse Event	
Outcome of Event	NOT RECOVERED/NOT RESOLVED	Not Recovered or Not Resolved	
Outcome of Event	RECOVERED/RESOLVED	Recovered or Resolved	
Outcome of Event	RECOVERED/RESOLVED WITH SEQUELAE	Recovered or Resolved with Sequelae	
Outcome of Event	RECOVERING/RESOLVING	Recovering or Resolving	
Outcome of Event	UNKNOWN	Unknown	41

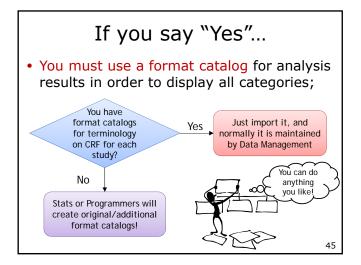
Traceability ADaM vs. Output

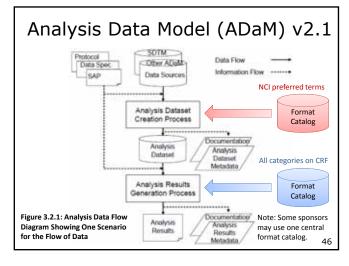
- To keep traceability the "Sort order" and "Decode (Mixed-case)" variables may be added to ADaM.
 - Except ASEV, AREL etc.

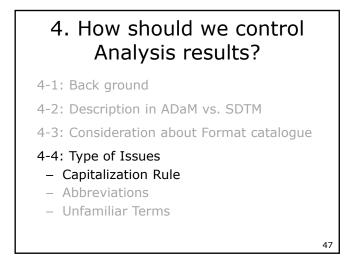
RACE	RACEN	RACEC
ASIAN	2	Asian
SEX	SEXN	SEXDECOD
M	1	Male

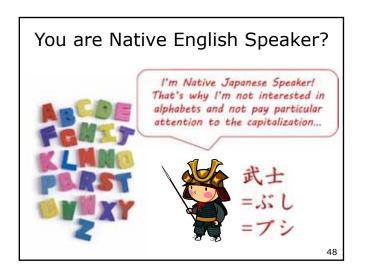












Capitalization Rule: Considerations

- · 3 Points;
 - 1. Customers think CDISC Submission Values are "machine-friendly"
 - Stats & Programming may make them "humanfriendly" in analysis data flow
 - 2. Should be controlled by "Implementer"
 - to avoid conflict between organizations/groups
 - · not to cause differences between studies
 - 3. What do you think about the traceability
 - CRF -> SDTM -> ADaM -> Analysis Results
 - · e.g., Different terms may be displayed on CRF

Capitalization Rule: Solutions

- 5 Options;
 - 1. Using format catalog for analysis results
 - No sponsor formats should be associated in SDTM and ADaM datasets
 - 2. <u>Auto-formatting by programming/system</u>
 - No additional format catalog; e.g., Changing the terminology by standard macros
 - 3. Adding extra variables not defined by IG
 - e.g., RACEC, SEXDECOD in ADSL
 - 4. Using analysis variables defined by IG
 - e.g., ASEV, AREL in ADAE
 - 5. Good-bye to "Good legibility and readability"
 - Case-insensitive

4. How should we control Analysis results?

- 4-1: Back ground
- 4-2: Description in ADaM vs. SDTM
- 4-3: Consideration about Format catalogue

4-4: Type of Issues

- Capitalization Rule
- Abbreviations
- Unfamiliar Terms

About Abbreviations

Type 1;

- A few choices

May be no-update							
Codelist Name	CDISC Submissi on Value	NCI Preferred Term					
Sex	F	Female					
	М	Male					
	U	Unknown					
	UN	Intersex					
No Yes	N	No					
Response	NA	Not Applicable					
	U	Unknown					
	Υ	Yes					

Type 2;

- Many choices
- May be added/updated

/		,
Codelist Name	CDISC Submissi on Value	NCI Preferred Term
Frequen	BID	Twice Daily
су	BIS	Twice Weekly
	QM	Monthly
	PRN	As Necessary
	Q10H	Every Ten Hours
	QS	Weekly
	PA	Per Year
	(cor	itinued)
		52

2 opposing points of view

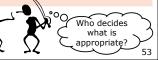
Sex can be "Male" because;

- It's easy to modify the terminology because of a few terms
- Once we create a process to modify the terminology, it doesn't need any update because the terminology will not be changed σ



Sex can be "M" because;

- It's easy to understand because of a few terms
- Once we have a training to learn the terminology, it doesn't need any update because the terminology will not be changed



Abbreviations: Considerations

· 2 Points;

- 1. Same Situation as "Capitalization Rule"
 - Strongly influenced by the decision about "Capitalization Rule '
- 2. "Flag" is not a Topic of discussion
 - Normally "Flag" is not displayed in summary tables (Note: AESER is not a "Flag")
 - e.g., TRTEMFL(Treatment Emergent Flag)="Y", TRTSDTF(Date of First Exposure Impute Flag)="M"

4. How should we control Analysis results?

4-1: Back ground

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About Unfamiliar Terms

Searching on Google.com (not "co.jp")

Red Blood Cell	28,400,000
Erythrocyte	4,250,000
White Blood Cell	30,400,000
Leukocyte	6,290,000

As of Nov 2012



You may never have seen these CDISC terminology regardless of your location!!



In Some Situations...

- "Global Headquarter" or "Implementer" enforce CDISC terminology
- Not use English Language
- Not use Unfamiliar CDISC Terminology
 - e.g., Erythrocyte
 - RBC or Red Blood Cell?
 - in ADaM Creation Process?
 - in Analysis Results Creation Process?
 - · How do we keep traceability

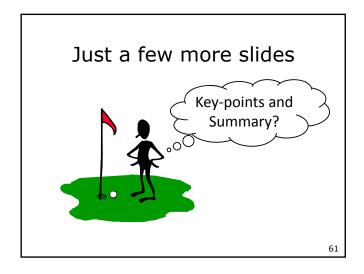
ADaM: PARAM variable

Fasy to modify

Lasy to mounty										
 Difficult to keep the Traceability 										
SDTM		ADaM								
VSTEST	VSSEQ	PARA	M		PA	RAMCD	SRC	SRCVAR	SRC	
Weight	2	4					DOM		SEQ	
Systolic Blood	6	Weight	t (kg)	WE	IGHT	VS	VSSTRESN	2	
Pressure	0 _	Log10	(Wei	ght (kg))	WE	IGHTLG				
	Systolic Blood Pressure (mmHg)				SYSBP		VS	VSSTRESN	6	
		Time t	o Firs	st SBP>140 (day)	SYS	SBPDY	VS	VSDY	6	
LBCAT	LBTEST	LBSEQ		PARAM		PARAM	SRC	SRCVAR	SRC	
URINALYSIS	Protein	1	\triangle			CD			SEQ	
CHEMISTRY	Protein	23		Urine Protein (qualitat	tive)	UPROT	LB	LBSTRESN	1	
HEMATOLOGY	Erythrocyte	89	4/	Protein (mmol/L)		PROT	LB	LBSTRESN	23	
	Red Blood Cell (10^4/mm^3) RBC LB LBSTRESN								89	
Note: Data Point Traceability Variables = SRCDOM, SRCVAR and SRCSEQ (+ASEQ) In this case, LBSEQ would be sufficient to provide the needed traceability because of all										
SRCDOM values are the same throughout the dataset							59			

Unfamiliar Terms: Considerations

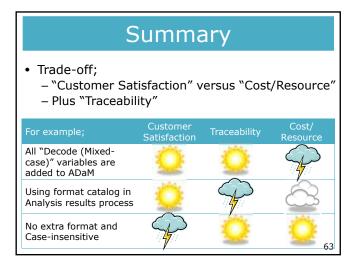
- · 2 Points;
 - 1. Completely different from "Capitalization Rule" and "Abbreviations" for data handling
 - May not be preferable to add any extra format or variables, e.g.;
 - LOC="EYE" & LAT="BILATERAL" > EYELOC="O.U."
 - Difficult to keep Traceability (BDS)
 - If you change the term "Erythrocyte" (LBSTEST) to "Red Blood Cells" (PARAM) then you definitely need "Data Point Traceability Variables"
 - 2. Typical examples may be useful for customers
 - to reduce queries
 - e.g., Erythrocytes, Leukocytes etc.

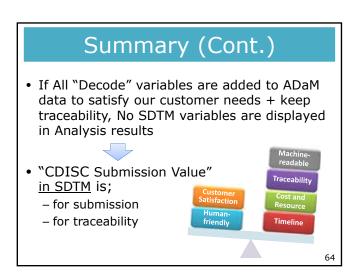


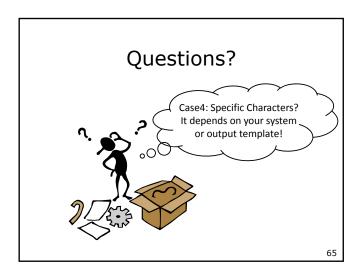
Key-points

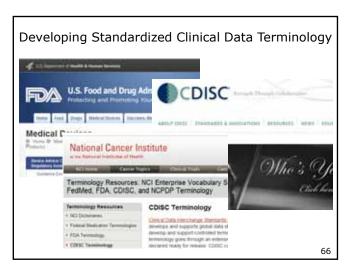
- · Machine-friendly vs. Human-friendly
- SDTM variables vs. ADaM variables
- · Listen to Customer's feedback
- Traceability
- 3 Type of Issues;
 - Capitalization Rule
 - Abbreviations
 - Unfamiliar Terms











PDUFA V Reauthorization Performance Goals and Procedures; Fiscal Years 2013 through 2017

- E. Clinical Terminology Standards: Using a public process that allows for stakeholder input, FDA shall develop standardized clinical data terminology through open standards development organizations (i.e., the Clinical Data Interchange Standards Consortium (CDISC)) with the goal of completing clinical data terminology and detailed implementation guides by FY 2017.
 - FDA shall develop a project plan for distinct therapeutic indications, prioritizing clinical terminology standards development within and across review divisions. FDA shall publish a proposed project plan for stakeholder review and comment by June 30, shall publish a proposed project plan for stakeholder review 2013. FDA shall update and publish its project plan annually.
- F. Development of terminology standards for data other than clinical data: To address FDA-identified nonclinical data standards needs, FDA will request public input on the use of relevant already-existing data standards and the involvement of existing standards development organizations to develop new standards or refine existing standards. FDA will obtain this input via publication of a Federal Register notice that specifies a 60-day comment period.
- G. FDA shall periodically publish final guidance specifying the completed data standards, formats, and terminologies that sponsors must use to submit data in applications. In the case of standards for study data, new data standards and terminology shall be applicable prospectively and only required for studies that begin 12 months after issuance of FDA's final guidance on the applicable data standards and terminology.

final guidance on the applicable data standards and community.

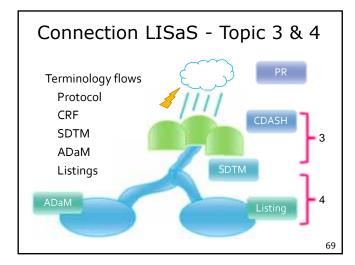
Reference as of Dec 2012: http://www.fda.gov/ForIndustry/UserFees/PrescriptionDrugUserFee/ucm272170.htm

67

References and Key Links

- SDTM v1.3, SDTM IG v3.1.3, ADaM v2.1 and ADaM IG v1.0
- FDA CDER Common Data Standards Issues Document (Ver1.1)
- CDISC elournal 2012
 - The Major Impacts of CDISC on Clinical Data Lifecycle Article by Chengxin Li and Nancy Bauer
- OpenCDISC Rule AD0129 AD0130, AD0149 AD0150
- Prescription Drug User Fee Act (PDUFA)
- PDUFA V Reauthorization Performance Goals and Procedures; Fiscal Years 2013 through 2017 //UserFees/PrescriptionDrugUserFee/UCM270412.pdf
- FDA-WG(Data Validation and Quality Assessment) Group2 Top 20

68



Topic Discussion Members and Acknowledgments

- Some of the views and opinions expressed in this presentation are those of the individual discussion member and should not be attributed to the organization by which the member is employed.
 - Akira Soma (Tanabe Mitsubishi)
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 - Miho Hashio (GSK)
 - Taku Shimizu (AC Medical)
 - Yasutaka Moriguchi (Santen)
 - Takashi Misawa (Acronet)
 - Mamiko Hayashi (Otsuka)